

03/28/97

Attorney Docket No. P96107US

Applicant(s): John H. Junius and Anna H. Junius

Serial No.: 08/748,205 Filed: 11/12/96

For: "FLUID FILTER USING FLOATING MEDIA"

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled "FLUID FILTER USING FLOATING MEDIA" by inventor(s) JOHN H. JUNIUS and ANNA H. JUNIUS described in:

XX the specification filed herewith
 application serial No. _____, filed _____
 patent No. _____, issued _____.

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

XX no such person, concern, or organization
 persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME: _____

ADDRESS: _____

() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT
 ORGANIZATION

FULL NAME: _____

ADDRESS: _____

() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT
 ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no

longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

JOHN H. JUNIUS

NAME OF INVENTOR

ANNA H. JUNIUS

NAME OF INVENTOR

NAME OF INVENTOR

Signature

1/27/97

DATE

Signature

1/27/97

DATE

Signature

1/27/97

DATE

03/28/97

DECLARATION

SOLE/Joint INVENTOR
ORIGINAL/SUBSTITUTE/CIP

As a below named Inventor, I hereby declare that: my residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FLUID FILTER USING FLOATING MEDIA

as described in the specification [] attached or [X] of patent Application Serial No. 08/748205, filed 11/12/96

and amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above; that I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application; that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representative or assigns more than twelve months prior to this application; and that I acknowledge the duty to disclose information of which I am aware which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations § 1.56(a). Such information is material when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
- (2) it refutes, or is inconsistent with, a position the applicant has taken or may take in:
 - (i) opposing an argument of unpatentability relied on by the Office, or
 - (ii) asserting an argument of patentability.

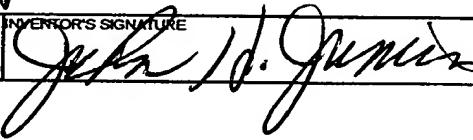
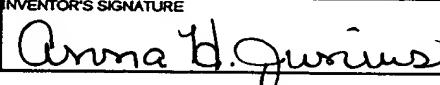
I hereby claim foreign priority benefits under Title 35, United States Code § 119 of any foreign application(s) for patent or inventor's certificates listed below and have also identified below any foreign application(s) having a filing date before that of the application(s) on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE OF FILING	PRIORITY CLAIMED UNDER 35 USC 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35 United States Code § 120 of any United States application(s) listed below and, insofar as any subject matter of any claim of this application is not disclosed in the prior United States Application, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations § 1.56(a) which occurred between the filing date of the prior application and the national PCT International filing date of this application:

Serial No. 08/179,452, filed 10 January 1994

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

100 FULL NAME OF SOLE OR FIRST INVENTOR <u>JOHN H. JUNIUS</u>		INVENTOR'S SIGNATURE 	DATE <u>1/27/97</u>
RESIDENCE <u>BUSH, LA LA</u>		CITIZENSHIP <u>U.S.</u>	
POST OFFICE ADDRESS <u>79455 HWY 1083, BUSH, LA 70431</u>			
200 FULL NAME OF SECOND JOINT INVENTOR <u>ANNA H. JUNIUS</u>		INVENTOR'S SIGNATURE 	DATE <u>1/27/97</u>
RESIDENCE <u>BUSH, LA LA</u>		CITIZENSHIP <u>U.S.</u>	
POST OFFICE ADDRESS <u>79455 HWY 1083, BUSH, LA 70431</u>			
FULL NAME OF THIRD JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			

03/28/97

POWER OF ATTORNEY

TITLE OF INVENTION: "FLUID FILTER USING FLOATING MEDIA"

NAME OF APPLICANT: JOHN H. JUNIUS and ANNA H. JUNIUS

ATTORNEY DOCKET NO.: P96107US

SERIAL NO.: 08/748,205

FILED: 11/12/96

The undersigned applicant hereby appoints:

3
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as Applicant's Attorneys to prosecute this application and related applications before the U.S. Patent and Trademark Office, the PCT Office, and all foreign competent patent offices and to transact all business in these offices.

1/27/97
DATE

John H. Junius
JOHN H. JUNIUS

1/27/97
DATE

Anna H. Junius
ANNA H. JUNIUS